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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,291	10/16/2001	David William Goodwin	83818/269265 TEN-013(U)

CONFIRMATION NO. 4990

FORMALITIES LETTER



\*OC000000007076675\*

COPY OF PAPERS  
ORIGINALLY FILED

PILLSBURY WINTHROP, LLP  
1600 Tysons Boulevard  
McLean, VA 22102

Date Mailed: 11/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$99.
  - \$99 for 11 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 534.

*A copy of this notice **MUST** be returned with the reply.*

Customer/Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

JAN 24 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

PATENT  
APPLICATION

In re PATENT APPLICATION of

Inventors: Goodwin, et al.

Appln. No.: 09/981,291

Filed: 10/16/01

Title: Automatic Instruction Set Architecture Generation

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Attn: Application Division

Atty. Dkt.	083818	0269265
	Client #	Matter #
(Our Deposit Account No. 03-3975)		
(Our Order No.		TEN-013(u)
	TMC#	Client Ref

Date: December 20, 2001

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

4. Basic Filing Fee (Applicant is a small entity)				\$740/ 370	\$370	101/201
5. Total Claims	31	minus 20 =	11	x \$18/\$09	\$99	103/203
6. Independent Claims	2	minus 3 =	0	x \$84/\$42	+0	102/202
7. Surcharge for filing Declaration/filing fee late				\$130/\$65	+65	105/205
8. Petition is hereby made to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached			(1 mo)	\$110/\$55 =		115/215
			(2mos)	\$400/\$200 =		116/216
			(3mos)	\$920/\$460 =		117/217
			(4mos)	\$1,440/\$720		118/218
9.				FILING FEE ENCLOSED = \$574		
10. Original due date: January 15, 2002						
11. If "assignment" box is X'd, add recording fee.....				\$40	+40	581
12.				TOTAL FEE: =		\$574

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed. This charge statement does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

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**PILLSBURY WINTHROP, LLP**  
By: Roger S. Joyner, Reg. No. 36,176

*Roger S. Joyner*

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on December 20, 2001

*Maria English*  
Printed Name: Maria English